

984 1734  
PATENT

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	William M. Lafferty	} Art Unit 1734
Serial No.:	10/084,026	
Filed:	February 25, 2002	
For:	A DEVICE FOR EFFECTING HEAT TRANSFER WITH A SOLUTION HELD IN A THROUGH- HOLE WELL OF A HOLDING TRAY	
Examiner:	Unknown	
Attorney Docket:	11335.7	

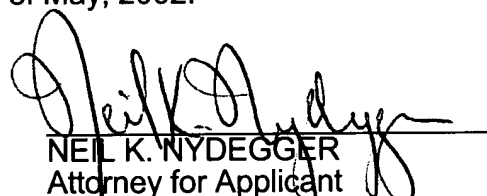
### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents  
Washington, D. C. 20231

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of the above-captioned application, or date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits whichever occurs last. 37 CFR 1.97(b).

The Commissioner is hereby authorized to charge payment of any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 14-1519. A duplicate of this request is enclosed.

Respectfully submitted this 11<sup>th</sup> day of May, 2002.

  
NEIL K. NYDEGGER  
Attorney for Applicant  
Registration No. 30,202

Transmitted: Information Disclosure Statement; PTO Form 1449;  
and 15 references.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket: 11335.7

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## INFORMATION DISCLOSURE STATEMENT

The following sections are being submitted for this Information Disclosure Statement:

- |     |          |   |
|-----|----------|---|
| 1.  | <u>X</u> | Preliminary Statements  |
| 2.  | <u>X</u> | FORM PTO - 1449 (Modified)  |
| 3.  | ___      | Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies are Submitted Or Need be Submitted. |
| 4.  | <u>X</u> | Copies of Listed Information Items Accompanying This Statement.   |
| 5.  | ___      | Concise Explanation of Non-English Language Listed Information Items.   |
| 6.  | ___      | Translation(s) of Non-English Language Documents.   |
| 7.  | ___      | Certification Under 37 C.F.R. §1.97.(e).  |
| 8.  | ___      | Fee as required under 37 C.F.R §1.17(p).  |
| 9.  | ___      | Petition requesting consideration of Statement and Petition Fee as set forth in 37 C.F.R. §1.17(i)(1).  |
| 10. | <u>X</u> | Identification of Person(s) Making This Information Disclosure Statement.   |

## **Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

FORM PTO-1449 (Modified)  
U.S. Dept of Commerce  
Patent and Trademark Office

Attorney Docket: 11335.7  
Serial No.: 10/084,026  
Applicant: William M. Lafferty  
Filing Date: February 25, 2002  
Group No.: 1734

List Submitted in Accordance  
With 37 CFR § 1.98 (a)(1)



U.S. Patent Documents

<u>Examiner Initial*</u>		<u>Patent Number</u>	<u>Issue Date</u>	<u>Patentee</u>
_____	AA	Des. 202,700	11/02/65	Cooke
_____	AB	Des. 246,466	11/22/77	Attree
_____	AC	Des. 283,162	03/25/86	Godsey
_____	AD	3,634,651	01/11/72	Siegel
_____	AE	4,154,795	05/15/79	Thorne
_____	AF	4,299,796	11/10/81	Hogen Esch
_____	AG	4,429,829	02/07/84	Dutton
_____	AH	4,599,315	07/08/86	Terasaki
_____	AI	4,735,778	04/05/88	Maruyama
_____	AJ	4,824,791	04/25/89	Ekholm
_____	AK	5,061,630	10/29/91	Knopf
_____	AL	5,073,346	12/17/91	Partanen
_____	AM	6,027,873	02/22/00	Schellenberger
_____	AN	6,306,578	10/23/01	Schellenberger

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_

\* EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Foreign Patent Documents

		<u>Document Number</u>	<u>Pub. Date</u>	<u>Country</u>	<u>Translation</u>
_____	AO	WO 99/34920	07/15/99	PCT	Yes

Other Documents

(including Author, Title, Pertinent Pages, date and place of publication)

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Examiner: Date Considered:

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conformance and not considered. Include copy of this form with next communication to  
applicant.  
\_\_\_\_\_



**Section 4. Copies of Listed Information Items Accompanying This Statement.**

Legible copies of **all** items listed below accompany this information statement.

<u>Patent No.</u>	<u>Date</u>	<u>Name</u>	<u>Country</u>
Des. 202,700	11/02/65	Cooke	USA
Des. 246,466	11/22/77	Attree	USA
Des. 283,162	03/25/86	Godsey	USA
3,634,651	01/11/72	Siegel	USA
4,154,795	05/15/79	Thorne	USA
4,299,796	11/10/81	Hogen Esch	USA
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Foreign Patent Documents

<u>Document Number</u>	<u>Pub. Date</u>	<u>Country</u>	<u>Translation</u>
WO 99/34920	07/15/99	PCT	Yes

**Section 10. Identification of Person(s) Making this Information Disclosure Statement.**

The person making this statement is

(a) \_\_\_\_\_ the inventor(s) who signs below

\_\_\_\_\_  
SIGNATURE OF INVENTOR

\_\_\_\_\_  
Name of Inventor

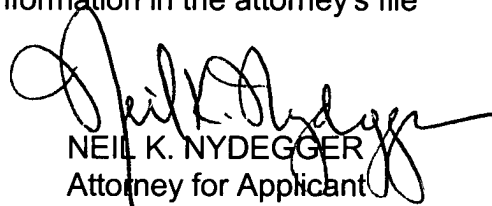
(b)   X   the attorney who signs below on the basis of:

  X   the information supplied by the inventor(s)

\_\_\_\_\_ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))

  X   the information in the attorney's file

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	HOLE WELL OF A HOLDING TRAY	)
		)
Examiner:	Unknown	)
		)
Attorney Docket:	11335.7	)

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this Information Disclosure Statement (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Hon. Commissioner for Patents, Washington, D. C. 20231, with sufficient postage as first class mail pursuant to 37 CFR 1.8(a) on this 21<sup>st</sup> day of May, 2002.

  
TARYLL ANDERSEN  
Administrator

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